

Persons to contact when reporting on possible compliance violations: Ombudspersons

All Vossloh employees as well as third-parties (customers, suppliers, etc.), wherever they may be, can contact impartial and external ombudspersons. These latter are lawyers commissioned solely for this purpose by Vossloh AG and committed to confidentiality.

The ombudspersons are the addressees for alerts and reports concerning the Vossloh Group regarding possible violations of laws and/or guidelines, especially related to antitrust legislation, corruption and bribery. They provide a further facility for alerting to violations of the law. Unaffected naturally is the parallel possibility for all employees to get in touch with their local contacts in compliance issues.

The ombudspersons are required to clarify the matter to such an extent that the alert/complaint can, to begin with, be investigated. To this end, employees and others who have turned to the ombudsperson, should be available for any subsequent queries. The alert/complaint is forwarded to the local Compliance Officer without the name of the employee being stated. The only exceptions: if danger to life and limb cannot be averted in any other way, if the reporting system is blatantly being abused (e.g. in instances of slander or defamation) or if mandatory data protection & privacy regulations require naming.

In the interest of efficient investigation into possible infringements, employees are asked to refrain from anonymous reporting except where justified. Anonymous reports may unnecessarily impede or even prevent investigations. If an employee or third party, after careful consideration, feels that an alert can only be submitted anonymously, he/she may phone the ombudsperson. On inquiry, employees who have stated their name, will be told whether the alert/complaint has triggered any further proceedings.

The ombudspersons can be contacted during normal office hours under the following phone numbers:

Argentina/Brazil:



Dr. Till Alexander Backsmann, São Paulo
Phone: +55 11 23 13 58 32

Australia:



Ross Freeman, Melbourne
Phone: +61 3 86 08 26 48

China:



Joseph Chu, Beijing
Phone: +86 10 85 88 45 05

Finland/Sweden



Patrik Lindfors, Helsinki
Phone: +358 20 762 2511

France/Luxembourg:



Koen Platteau, Brussels
Phone: +32 2 5 42 09 63

Germany/Austria:



Sascha Kuhn, Düsseldorf
Phone: +49 211 4 70 53 79

India:



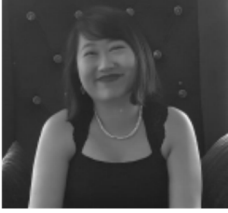
Sameer Tapia, Mumbai
Phone: +91 22 40 01 02 02

Italy:



Francesca Scanzi, Milano
Phone: +39 2 72 50 55 02

Malaysia:



Jamie Goh Moon Hong, Kuala Lumpur
Phone: +603 20 27 27 31

Netherlands:



David Schreuders, Amsterdam
Phone: +31 20 7 22 23 01

**North America
(EN)**



Robertson Park, Washington D.C. 20001
Phone: +1 202 661 7022

**North America
(ES)**



Elizabeth Del Cid, New York, NY 10036
Phone: +1 212 880 3982

Poland:



Slawomir Paruch, Warsaw
Phone: +48 22 3 80 42 50

Portugal:



Dr. José Luís Moreira da Silva, Lisbon
Phone: +351 21 3 13 20 84

Russia



Irina Anyukina, Moscow
Phone: +7 495 234 96 92

Serbia:



Dragana Bajić, Belgrade
Phone: +381 11 32 10 209

South Africa:



Carl Bosma, Cape Town
Phone: +27 21 460 63 00

Spain:



Fernando Aizpún, Madrid
Phone: +34 91 4 26 26 06

United Kingdom:



Vicky Wickremeratne, London
Phone: +44 20 78 25 45 53

Alerts can also be emailed in German, English, French, Italian, Dutch, Spanish or Turkish to

Vossloh-Ombudsperson-Office@simmons-simmons.com

Vossloh will not tolerate that any employee is persecuted in any way simply because they have to the best of their knowledge alerted to possible violations, unless it is a case of blatant abuse (e.g. slander or defamation).

The ombudspersons act solely on behalf of Vossloh AG and do not provide any legal advice to employees or third parties.