



Convenience translation: The German version shall prevail.

Information on the processing of personal data for shareholders, shareholder representatives and other participants in the Annual General Meeting of Vossloh AG

Protecting your personal data and your privacy is very important to Vossloh AG. In this privacy statement, we detail which of your personal data we process in your role as our shareholder, as the legal or authorized representative of a shareholder, or as any other participant involved in the preparation, conduct and follow-up of our Annual General Meeting, as well as your rights pursuant to Regulation (EU) 2016/679 (EU General Data Protection Regulation - 'GDPR') and the German Federal Data Protection Act (*Bundesdatenschutzgesetz*, 'BDSG') regarding the processing of your personal data.

In 2023, we will be holding our Annual General Meeting as an in-person meeting again, for the first time since 2019. We have not provided for remote participation by joining the Annual General Meeting by means of electronic communication this year.

1. Who is responsible for data processing?

The party responsible for data processing is:

Vossloh AG
Vosslohstrasse 4
58791 Werdohl
Germany
Phone: +49 2392 52 - 0
Email: hauptversammlung@vossloh.com

Our Data Protection Officer can be contacted at:

Vossloh AG
Data Protection Officer for Vossloh AG
Vosslohstrasse 4
58791 Werdohl
Germany
Email: datenschutzbeauftragter@vossloh.com

2. Which personal data are processed?

In order to hold our Annual General Meeting, we process the following personal data of our shareholders, their proxies and/or other participants, as the case may be:

- Name,
- Contact details (e.g. address, email address),

- Information relating to your shares (e.g. number of shares, type of shares, type of shareholding),
- Information relating to the Annual General Meeting (e.g. number of admission ticket, number of voting card).

Should you contact us, we additionally process any personal data that is required for responding to your inquiry, e.g. your email address or phone number.

3. For what purposes and on what legal basis are data processed?

We collect and process your personal data for the following purposes:

a) Preparation, conduct and follow-up of the Annual General Meeting

We process your personal data in order to prepare, conduct and follow up on the Annual General Meeting, in particular to process your registration for and participation in the Annual General Meeting (e.g. verification of eligibility to participate, compilation of the list of attendees, sending the voting cards) and to enable you to exercise your rights in connection with the Annual General Meeting (including the granting and revocation of powers of attorney and voting instructions).

In particular, we also process your voting behavior, insofar as you or your representative exercise your voting rights during the Annual General Meeting, to ensure orderly adoption of resolutions and proper counting of votes. Furthermore, we process information pertaining to your objections to resolutions of the Annual General Meeting insofar as you submit such an objection.

The legal basis for this processing is provided by Art. 6(1) lit. c) of the GDPR in conjunction with Section 67e(1) of the German Stock Corporation Act (*Aktiengesetz*, 'AktG') and our legal obligations according to Sections 118 et seqq. of the AktG.

The processing of your personal data is necessary to ensure the proper conduct of the Annual General Meeting. If you do not provide us with the required personal data, we may not be able to offer you the opportunity to participate in the Annual General Meeting.

In conjunction with the preparation, conduct and follow-up of our Annual General Meeting, we may also transfer your personal data to our legal advisers, tax consultants or auditors, because we have a legitimate interest in ensuring that we hold the Annual General Meeting in accordance with the applicable legal regulations and that we obtain external advice in this matter. The legal basis for this processing is provided by Art. 6(1) lit. f) of the GDPR.

b) Processing to comply with other statutory obligations

In addition, your personal data may also be processed for the purpose of satisfying additional statutory obligations, such as regulatory requirements and retention obligations under stock corporation law, commercial law, or tax law. For example, we are obligated to keep a verifiable, access-protected record of the declaration of power of attorney for three (3) years when you authorize a voting proxy appointed for the Annual General Meeting by the Company. The relevant legal basis for this processing is provided by Art. 6(1) lit. c) of the GDPR in these cases as well.

4. Where do we obtain your personal data?

We (or the service providers we have commissioned) obtain your personal data as a shareholder either directly from you or from your depositary institution.

If you are an authorized representative of a shareholder, we will receive your personal data from the shareholder who has given you power of attorney, and directly from you insofar as your actions in the Annual General Meeting are concerned.

5. Who receives your data and where do we transfer it?

Your personal data is processed within Vossloh AG by those employees who are involved in the organization of the Annual General Meeting.

Additionally, we use the services of external service providers in context with the Annual General Meeting. These service providers are only given such personal data by us that is necessary for carrying out the commissioned services and they process this data solely on our behalf and in accordance with our instructions.

We may also transfer your data to our legal advisers, tax consultants and/or auditors in conjunction with the preparation, conduct and follow-up of our Annual General Meeting.

In accordance with Section 129(1) sentence 2 of the AktG, we are obligated to enter you into the list of participants with your name, place of residence, number of shares, and type of shares. These data may be viewed by shareholders on request for up to two years after the Annual General Meeting (Section 129(4) of the AktG).

Finally, we may also be obligated to transmit your personal data to other recipients, for instance when publishing voting rights notifications pursuant to the provisions of the German Securities Trading Act, or to the authorities in order to satisfy statutory disclosure requirements (e.g. to financial or law enforcement authorities).

Your personal data is generally processed in countries that are members of the European Union (EU) or European Economic Area (EEA). To the extent that shareholders come from countries outside the EU or EEA (third countries), we will provide information to these shareholders as well (e.g. invitations to Annual General Meetings). Should such communication contain personal data (e.g. motions relating to the Annual General Meeting including the name of the submitter), this information will also be transmitted to the third country. The rules of the GDPR do not directly apply in third countries. Unless the EU Commission has adopted an adequacy decision, this may mean that the level of protection of your personal data in these third countries is lower than in the EU. However, transmission of the data is necessary to ensure that all shareholders are equally informed; we cannot exempt shareholders in third countries from our obligation to inform all shareholders. The transmission of data to third countries thus falls under our contractual duties. The legal basis for this processing is provided by Art. 49(1) lit. b) of the GDPR.

6. How long will we retain your personal data?

We will delete or anonymize your personal data as soon as they are no longer required for the aforementioned purposes, unless statutory documentation or retention obligations (e.g. pursuant to the German Stock Corporation Act, German Commercial Code (*Handelsgesetzbuch*), German Fiscal Code (*Abgabenordnung*) or other legal provisions) mandate that we continue to store these data. As a rule, we store your data processed in connection with the Annual General Meeting for five years. In addition, we also store your data if this is required in conjunction with claims that are asserted against or by our Company, or to safeguard our legitimate interests as specified above. Please contact our Data Protection Officer if you have any specific questions regarding the duration of storage.

7. What rights do you have regarding your personal data?

Provided the legal requirements are met, as a data subject you have the right to

- obtain information on the data processing and a copy of the processed data (right of access pursuant to Article 15 of the GDPR),
- rectification of incorrect data and supplementation of incomplete data (right to rectification pursuant to Article 16 of the GDPR),
- immediate deletion of personal data (right to erasure ('right to be forgotten') pursuant to Article 17 of the GDPR),
- demand restriction of data processing (right to restriction of processing pursuant to Article 18 of the GDPR),
- as well as the right to receive the personal data you have provided to a responsible party in a structured, commonly used, and machine-readable format, and furthermore to transmit these data without hindrance from the responsible party to a different responsible party (right to data portability pursuant to Article 20 of the GDPR).

Insofar as we process your data for the purposes of safeguarding the legitimate interests of Vossloh AG or of a third party, you have the right, on grounds relating to your particular situation, to object to the processing of your personal data at any time (right to object pursuant to Article 21 of the GDPR). The data processing will then be terminated unless we are able to demonstrate compelling legitimate grounds that override your interests, rights and freedoms, or insofar as the processing serves the purposes of the establishment, exercise or defense of legal claims.

For complaints related to the processing of your personal data, you as the data subject can contact the Company's Data Protection Officer specified in section 1 above.

Independent of this, every data subject has the right pursuant to Article 77 of the GDPR to submit a complaint to a competent data protection authority.

The competent data protection authority for our Company is:

Die Landesbeauftragte für Datenschutz und Informationsfreiheit Nordrhein-Westfalen
P.O. Box 20 04 44
40102 Düsseldorf
Germany
Phone: +49 211 38424 - 0
Fax: +49 211 38424 - 999
Email: poststelle@ldi.nrw.de